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Date

July 29, 2005
Kathi Hotchkiss

Attorney Docket: RO0937US (#90568)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Christian von Falkenhausen, Walter Muller and Markus Krumme

Serial No. 10/517,087

Group No.

Filed: December 16, 2004

Examiner:

For: ACTIVE SUBSTANCE-CONTAINING FILM-LIKE PREPARATIONS HAVING
IMPROVED CHEMICAL STABILITY, AND PROCESSES FOR THEIR
PREPARATIONAssistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

- (X) incorrectly entered
and/or
() omitted
(X) Applicant's names
() Applicant's address
(X) Title
() Filing Date

- ☐ Serial No.
- ☐ Foreign/PCT Application Reference
- ☐ Other

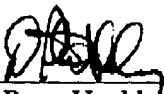
in that the filing receipt should read as follows: Correct order of applicant's is:

Christian von Falkenhausen, Meckenheim, GERMANY
Walter Muller, Andernach, GERMANY
Markus Krumme, Neuwied, GERMANY

and the title should read as follows:

ACTIVE SUBSTANCE-CONTAINING FILM-LIKE
PREPARATIONS HAVING IMPROVED CHEMICAL
STABILITY, AND PROCESSES FOR THEIR PREPARATION

Enclosed is a copy of the first two pages of the Preliminary Amendment to support the amended title, and a copy of the PCT Publication to support the correct order of the applicant's names.



D. Peter Hochberg
Reg. No. 24,603

Enclosures: Copy of Filing Receipt
First two pages of the Preliminary Amendment
PCT Publication
Total Pages 7

D. Peter Hochberg Co., L.P.A.
The Baker Building
1940 East 6th Street, Sixth Floor
Cleveland, Ohio 44114
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/517,087	12/06/2004	1614	1112	RO0937US (#90568)	1	29	2

D Peter Hochberg Company
 6th Floor
 1940 East 6th Street
 Cleveland, OH 44114

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CONFIRMATION NO. 1143

FILING RECEIPT



0000000016321161

Date Mailed: 06/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Markus Krumme, Neuwied, GERMANY;
 Walter Muller, Andernach, GERMANY;
 Christian von Falkenhausen, Meckenheim, GERMANY;

Correct to
 proper order

Power of Attorney:

D Hochberg-24603

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/04816 05/08/2003

Foreign Applications

GERMANY 102 24 612.2 06/04/2002

Projected Publication Date: 09/29/2005

Non-Publication Request: No

Early Publication Request: No

Title

Film-shaped preparations with improved chemical stability containing active substances and method for the production thereof

Preliminary Class
514

↓ Change per preliminary Amendment

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG(19) Weltorganisation für geistiges Eigentum
Internationales Büro(43) Internationales Veröffentlichungsdatum
11. Dezember 2003 (11.12.2003)

PCT

(10) Internationale Veröffentlichungsnummer
WO 03/101421 A1(51) Internationale Patentklassifikation:
31/465 A 61 K 9/00.Markus [DI/DE]; Feldkircher Strasse 46, 56567 Neuwied
(DE).

(21) Internationales Aktenzeichen: PCT/EP03/04816

(74) Anwalt: FLACCUS, Rolf-Dieter; Bussardweg 12, 50389
Wesseling (DE).(22) Internationales Anmeldedatum:
8. Mai 2003 (08.05.2003)(81) Bestimmungsstaaten (national): AU, BR, CA, CN, IL,
IN, JP, KR, MX, NZ, PH, PL, RU, US, ZA.

(25) Einreichungssprache: Deutsch

(26) Veröffentlichungssprache: Deutsch

(84) Bestimmungsstaaten (regional): europäisches Patent (AT,
BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR,
HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR).(30) Angaben zur Priorität:
102 24 612.2 4. Juni 2002 (04.06.2002) DE

Erklärung gemäß Regel 4.17:

Erfindererklärung (Regel 4.17 Ziffer iv) nur für US

(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme
von US): ITS LOHMANN THERAPIE-SYSTEME AG
[DI/DE]; Lohmannstrasse 2, 56626 Andernach (DE).

Veröffentlicht:

mit internationalem Recherchenbericht

(72) Erfinder; und

(75) Erfinder/Anmelder (nur für US): VON FALKEN-
HAUSEN, Christian [DE/DE]; Merle Ring 7, 53340
Meckenheim (DE). MÜLLER, Walter [DE/DE]; Hin-
denburgwall 12, 56626 Andernach (DE). KRUMME,Zur Erklärung der Zweibuchstaben-Codes und der anderen Ab-
kürzungen wird auf die Erklärungen ("Guidance Notes on Co-
des and Abbreviations") am Anfang jeder regulären Ausgabe der
PCT-Gazette verwiesen.(54) Title: FILM SHAPED PREPARATIONS WITH IMPROVED CHEMICAL STABILITY CONTAINING ACTIVE
SUBSTANCES AND METHOD FOR THE PRODUCTION THEREOF(54) Bezeichnung: WIRKSTOFFHALTIGE FILMFÖRMIGE ZUBEREITUNGEN MIT VERBESSERTER CHEMISCHER STA-
BILITÄT, UND VERFAHREN ZU DEREN HERSTELLUNG(57) Abstract: The invention relates to a film shaped preparation containing active ingredients for application in the oral cavity or
for transmucosal application. The invention is characterised in that the preparation has a peroxide number which is at the most 40.(57) Zusammenfassung: Eine filmförmige wirkstoffhaltige Zubereitung zur Applikation in der Mundhöhle oder zur transmukosa-
len Applikation, ist dadurch gekennzeichnet, dass die Zubereitung eine Peroxid Zahl aufweist, die höchstens 40 beträgt.

WO 03/101421 A1

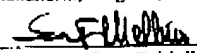
EXPRESS MAIL CERTIFICATION UNDER 37 CFR 1.10

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Date of deposit Dec 16, 2004

I hereby certify that the paper(s) identified above, and any document(s) referred to as attached hereto, is being deposited with the United States Postal Service on the date indicated in an envelope as "Express Mail Post Office to Addressee" and is addressed: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.


Sean F. Mellino

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Von Falkenhausen, Müller & Krumme

Serial No. :

Filing Date : Herewith

Examiner :

Group Art Unit:

Title : ACTIVE SUBSTANCE-CONTAINING FILM-LIKE
PREPARATIONS HAVING IMPROVED CHEMICAL
STABILITY, AND PROCESSES FOR THEIR
PREPARATION
(as amended herein)

Attorney File : RO0937US (#90568)

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Commissioner for Patents
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Alexandria, Virginia, 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Please amend the above-referenced application as follows:

In the Title:

Please amend the title of the application from "F ILM-SHAPED PREPARATIONS WITH IMPROVED CHEMICAL STABILITY CONTAINING ACTIVE SUBSTANCES AND METHOD FOR THE PRODUCTION THEREOF" to "ACTIVE SUBSTANCE-CONTAINING FILM-LIKE PREPARATIONS HAVING IMPROVED CHEMICAL STABILITY, AND PROCESSES FOR THEIR PREPARATION."